

Applicants submit herewith the Notice of Recordation and the assignments of this application and the application for the cited Srivistava et al. Patent 6,549,922 (U.S. Application Serial No. 09/410,781) showing that these applications were commonly owned by Oracle Corporation. As provided by 35 U.S.C. 103(c), the Srivastava et al. patent cannot preclude patentability under Section 103(a). Section 103(c) states.

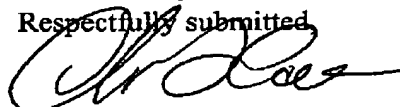
(c) Subject matter developed by another person, which qualifies as prior art only under one or more of subsections (e), (f), and (g) of section 102 of this title, shall not preclude patentability under this section where the subject matter and the claimed invention were, at the time the invention was made, owned by the same person or subject to an obligation of assignment to the same person.

Applicants' undersigned attorney apologizes for his prior failure to recognize that the cited Srivistava et al. patent was not prior art. Applicants' attorney was fully aware that both applications were owned by Oracle, since he also prepared and prosecuted the earlier application. He simply failed to recognize the applicability of Section 103(c) in these circumstances until after the appeal was filed.

CONCLUSION

It is accordingly requested that the prosecution be reopened, that the outstanding rejection be withdrawn, and that this application be allowed.

Respectfully submitted,



Charles G. Call, Reg. 20,406

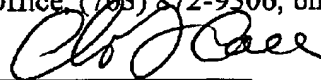
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Dated: April 22, 2004

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Charles G. Call, Reg. No. 20,406

USPTO Customer No. 021253

68 Horse Pond Road

West Yarmouth, MA 02673

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call@patentsoft.com



UNITED STATES DEPARTMENT OF COMMERCE
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Washington, D.C. 20231

MAY 23, 2001

PTAS

CHARLES CALL
PATENT ATTORNEY
53 SAINT STEPHEN STREET
BOSTON, MA 02115



101643498A

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RECORDATION DATE: 03/12/2001

REEL/FRAME: 011595/0145
NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

SRIVASTAVA, ALOK

DOC DATE: 02/05/2001

ASSIGNOR:

CARRER, MARCO

DOC DATE: 02/05/2001

ASSIGNOR:

LIN, PAUL

DOC DATE: 02/05/2001

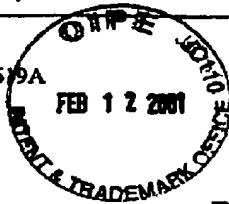
ASSIGNEE:

ORACLE CORPORATION
500 ORACLE PARKWAY
REDWOOD SHORES, CALIFORNIA 94065

SERIAL NUMBER: 09410781
PATENT NUMBER:FILING DATE: 10/01/1999
ISSUE DATE:

011595/0145 PAGE 2

ANTIONE ROYALL, EXAMINER
ASSIGNMENT DIVISION
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FORM PTO-1619A
Expires 06/30/99
OMB 0651-0027

03-22-2001



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Month Day Year
02052001

Name (line 2) _____

Second PartyName (line 1) Carrer, Marco Execution Date
Month Day Year
02052001

Name (line 2) _____

Receiving Party

- ☐ Mark if additional names of receiving parties attached

Name (line 1) Oracle Corporation

Name (line 2) _____

Address (line 1) 500 Oracle Parkway

Address (line 2) _____

Address (line 3) Redwood Shores

CA

94065

City

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OMB 0661-0027

Page 2

U.S. Department of Commerce
Patent and Trademark Office
PATENT**Correspondent Name and Address**

Area Code and Telephone Number (617) 268-2925

Name Charles G. Call

Address (line 1) Patent Attorney

Address (line 2) 53 Saint Stephen Street

Address (line 3) Boston, MA 02115

Address (line 4)

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Patent Application Number(s)

09/410,781

Patent Number(s)

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1

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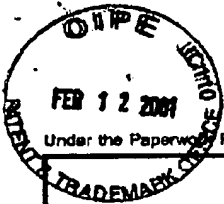
Charles G. Call, Reg. No. 20,406

Name of Person Signing

Signature

02/08/2001

Date



PTO/BB/15 (8-98)

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ASSIGNMENT OF APPLICATION

Docket Number (Optional)
99,009

Whereas, I, Alok Srivastava of Chelmsford, MA 01824, hereafter referred to as applicant, have invented certain new and useful improvements in Media Annotator

☒ for which an application for a United States Patent was filed on 10/1/1999,
Application Number 09 / 410,781

☐ for which an application for a United States Patent was executed on _____, and

Whereas, Oracle Corporation of Redwood Shores, CA 94065 herein referred to "assignee" whose post office address is 500 Oracle Parkway, Redwood Shores, CA 94065 is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of One dollars (\$ 1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I, the applicant, by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 5th day of Feb 2001
at NEDC, Nashua, NH

State of _____ SS:

County of _____

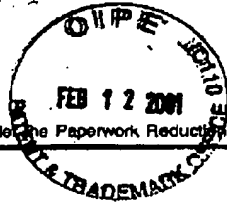
Before me personally appeared said Alok Srivastava
and acknowledged the foregoing instrument to be his free act and deed this _____
day of _____

(Signature)

Seal

(Notary Public)

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ASSIGNMENT OF APPLICATION

Docket Number (Optional)
99,009

Whereas, I, Marco Carrer of Nashua, NH 03062, hereafter
referred to as applicant, have invented certain new and useful improvements in Media Annotator

☒ for which an application for a United States Patent was filed on 10/1/1999
Application Number 09 / 410,781

☐ for which an application for a United States Patent was executed on _____, and

Whereas, Oracle Corporation of Redwood Shores, CA 94065 herein referred to
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sirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of One dollars (\$ 1.00), the receipt whereof is ac-
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entire right, title and interest in and to any and all Patents which may be granted therefor in the United States,
I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States
Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof,
and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may
be granted, as fully and entirely as the same would have been held by me had this assignment and sale not
been made.

Executed this 05 day of February, 2001
at NEDC, NASHUA NH

Marco Carrer
(Signature)

State of _____ SS:
County of _____

Before me personally appeared said Marco Carrer
and acknowledged the foregoing instrument to be his free act and deed this _____
day of _____.

Seal

(Notary Public)

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ASSIGNMENT OF APPLICATION

Docket Number (Optional)
 99,009

Whereas, I, Paul Lin of Nashua, NH 03060, hereafter
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☒ for which an application for a United States Patent was filed on 10/1/1999,
 Application Number 09 / 410,781

☐ for which an application for a United States Patent was executed on _____, and

Whereas, Oracle Corporation of Redwood Shores, CA 94065 herein referred to
 "assignee" whose post office address is 500 Oracle Parkway, Redwood Shores, CA 94065 is de-
 siring of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of One dollars (\$ 1.00), the receipt whereof is ac-
 knowledged, and other good and valuable consideration, I, the applicant, by these presents do sell, assign
 and transfer unto said assignee the full and exclusive right to the said invention in the United States and the
 entire right, title and interest in and to any and all Patents which may be granted therefor in the United States,
 I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States
 Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof;
 and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may
 be granted, as fully and entirely as the same would have been held by me had this assignment and sale not
 been made.

Executed this 5th day of Feb., 2001
 at NEDC Nashua, NH

State of _____ SS:

County of _____

Before me personally appeared said Paul Lin

and acknowledged the foregoing instrument to be his free act and deed this _____

day of _____

(Signature)

Seal

(Notary Public)

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/410,781
	Filing Date	10/1/1999
	First Named Inventor	Srivastava, Alok
	Group Art Unit	2781
	Examiner Name	unassigned
Total Number of Pages in This Submission	Attorney Docket Number	99,009

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Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Charles G. Call, Reg. No. 20,406
Signature	
Date	2/8/2001

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JULY 27, 2000

PTAS

CHARLES G. CALL
 PATENT ATTORNEY
 53 SAINT STEPHEN STREET
 BOSTON, MA 02115



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RECORDATION DATE: 05/10/2000

REEL/FRAME: 010817/0667
 NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
 CHATTERJEE, RAJA

DOC DATE: 04/25/2000

ASSIGNOR:
 MAVRIS, SUSAN

DOC DATE: 04/27/2000

ASSIGNEE:
 ORACLE CORPORATION
 500 ORACLE PARKWAY
 REDWOOD SHORES, CALIFORNIA 94065

SERIAL NUMBER: 09496086
 PATENT NUMBER:

FILING DATE: 02/01/2000
 ISSUE DATE:

SHARON LATIMER, EXAMINER
 ASSIGNMENT DIVISION
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Expires 06/30/99
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06-02-2000

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Month Day Year

Name (line 1) Chatterjee, Raja

April 25, 2000

Name (line 2) _____

Second Party

Name (line 1) Mavris, Susan

Execution Date
Month Day Year
April 27, 2000

Name (line 2) _____

Receiving Party☐ Mark if additional names of receiving parties attached

Name (line 1) Oracle Corporation

Name (line 2) _____

Address (line 1) 500 Oracle Parkway

Address (line 2) _____

Address (line 3) Redwood Shores

CA

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Page 2

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Area Code and Telephone Number (617) 266-2925

Name Charles G. Call

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Address (line 2) 53 Saint Stephen Street

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ASSIGNMENT OF APPLICATION

Docket Number (Optional)
99,028

Whereas, I, Raja Chatterjee of Nashua, NH 03062, hereafter referred to as applicant, have invented certain new and useful improvements in Indexing and Searching MultiMedia-Rich Web Pages

☒ for which an application for a United States Patent was filed on 2/1/00,
Application Number 09 / 496,086

☐ for which an application for a United States Patent was executed on _____, and

Whereas, Oracle Corporation of Redwood Shores, CA 94065 herein referred to "assignee" whose post office address is 500 Oracle Parkway, Redwood Shores, CA 94065 is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of One dollars (\$ 1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I, the applicant, by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States. I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 25th day of April, 2000

at Nashua, New Hampshire

Raja Chatterjee
(Signature)

State of New Hampshire, SS: 592-43-6493

County of Hillsborough

Before me personally appeared said Raja Chatterjee

and acknowledged the foregoing instrument to be his free act and deed this 25th day of April, 2000.

Seal

Gail T. Leamy
(Notary Public) 9/20/00

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ASSIGNMENT OF APPLICATION

Docket Number (Optional)
99,028

Whereas, I, Susan Mavris of Bedford, NH 03110, hereafter referred to as applicant, have invented certain new and useful improvements in Indexing and Searching MultiMedia-Rich Web Pages

☒ for which an application for a United States Patent was filed on 2/1/00,
Application Number 09 / 496,086

☐ for which an application for a United States Patent was executed on _____, and

Whereas, Oracle Corporation of Redwood Shores, CA 94065 herein referred to "assignee" whose post office address is 500 Oracle Parkway, Redwood Shores, CA 94065 is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of One dollars (\$ 1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I, the applicant, by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 27th day of April,
at Nashua NH

State of New Hampshire SS:
County of Hillsborough

Before me personally appeared said Susan Mavris

and acknowledged the foregoing instrument to be his free act and deed this 27th
day of April, 2000.

(Signature)

Seal

(Notary Public)

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